

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

William ORTNER et al.

Group Art Unit: 3693

Serial No.: 10/628,208

Examiner: **Jason BORLINGHAUS**

Filed: **July 29, 2003**

For: **METHOD AND SYSTEM FOR PROVIDING MANDATORILY CONVERTIBLE
SECURITIES WITH AN ASSOCIATED CALL SPREAD AGAINST A TRUST**

FILED ELECTRONICALLY

RESPONSE TO NON-FINAL OFFICE ACTION

United States Patent and Trademark Office
Customer Service Window, Mail Stop Amendment
Randolph Building
Alexandria, VA 22314

Sir:

In response to the non-final Office Action mailed April 4, 2008, the undersigned respectfully requests reconsideration of the rejections of record in light of the following amendments and remarks.

Amendments to the Claims are reflected in the Listing of Claims which begins on page 2.

Remarks begin on page 5.